

## CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.9

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the below date:  
Date: 4/8/05 Name: Gustavo Siller, Jr. Signature: [Signature]

BRINKS  
HOFER  
GILSON  
& LIONE

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Yoshihiro Kanada et al.

Appln. No.: 09/851,776

Filed: May 9, 2001

For: THIN-FILM MAGNETIC HEAD  
HAVING MAGNETIC GAP  
FORMED ON NIP

Examiner: William T. Leader

Art Unit: 1742

Attorney Docket No: 9281-3989

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

## TRANSMITTAL

Sir:

## Attached is/are:

- ☒ Transmittal Letter (in duplicate); Supplemental Information Disclosure Statement; PTO Form 1449; Copy of office action dated October 21, 2004 for corresponding Japanese Patent Application No. 2001-130935; Copy of cited references B1-B4
- ☒ Return Receipt Postcard

## Fee calculation:

- ☐ No additional fee is required.
- ☐ Small Entity.
- ☐ An extension fee in an amount of \$\_\_\_\_\_ for a \_\_\_\_\_-month extension of time under 37 C.F.R. § 1.136(a).
- ☐ A petition or processing fee in an amount of \$\_\_\_\_\_ under 37 C.F.R. § 1.17(\_\_\_\_\_).
- ☐ An additional filing fee has been calculated as shown below:

					Small Entity			Not a Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or	Rate	Add'l Fee
Total		Minus			x \$25=			x \$50=	
Indep.		Minus			x 100=			x \$200=	
First Presentation of Multiple Dep. Claim					+ \$180=			+ \$360=	
					Total	\$		Total	\$

## Fee payment:

- ☐ A check in the amount of \$\_\_\_\_\_ is enclosed.
- ☐ Please charge Deposit Account No. 23-1925 in the amount of \$\_\_\_\_\_. A copy of this Transmittal is enclosed for this purpose.
- ☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Respectfully submitted,

Date

4/8/05  
Gustavo Siller, Jr. (Reg. No. 32,305)



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EPW

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INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §1.97(i)

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97(i), Applicants hereby cite the following reference(s):

No.	Date of Publication
63-188829	08/1988
06-028626	02/1994
10-334410	12/1998
06-301919	10/1994

Applicants are enclosing Form PTO-1449 (one sheet), along with a copy of each listed reference for which a copy is required under 37 C.F.R. §1.98(a)(2). Also enclosed is a copy of an office action dated October 21, 2004 for corresponding Japanese Patent Application No. 2001-130935. Applicants respectfully request that the citation(s) be placed into the file wrapper of the application.

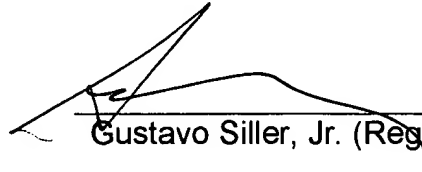
By submitting this Statement, Applicants are attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

Applicants have calculated no fee to be due in connection with the filing of this Statement. However, the Director is authorized to charge any fee deficiency associated with the filing of this Statement to a deposit account, as authorized in the Transmittal accompanying this Statement.

Respectfully submitted,

Date

9/8/05

  
Gustavo Siller, Jr. (Reg. No. 32,305)



<b>FORM PTO-1449</b>  <b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>  (use several sheets if necessary)	<b>SERIAL NO.</b>  09/851,776	<b>CASE NO.</b>  9281-3989
	<b>FILING DATE</b>  May 9, 2001	<b>GROUP ART UNIT</b>  1742
	<b>APPLICANTS:</b> Yoshihiro Kanada et al.	

REFERENCE DESIGNATION		U.S. PATENT DOCUMENTS				
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE

FOREIGN PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO	
	B1	63-188829	08/1988				
	B2	06-028626	02/1994				
	B3	10-334410	12/1998				
	B4	06-301919	10/1994				

EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)	
	B5	Copy of Office Action dated October 21, 2004 for corresponding Japanese Patent Application No. 2001-130935

<b>EXAMINER</b>	<b>DATE CONSIDERED</b>
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.